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Date: January 29, 2004


Christine M. Spivey

PATENT
36856.1101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Takeshi NAKAO et al.	Art Unit: 2834
Serial No.: 10/614,412	Examiner: Unknown
Filed: July 7, 2003	
Title: SURFACE ACOUSTIC WAVE DEVICE AND MANUFACTURING METHOD THEREFOR	

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of three (3) references cited in the enclosed Chinese Notice of First Office Action issued in a corresponding Chinese Patent Application. For the Examiner's convenience, we have enclosed an English translation of the Chinese Notice of First Office Action from the corresponding Chinese Patent Application and a completed Form PTO-1449. Although the Chinese Notice of First Office Action does not mention U.S. Patent No. 5,432,392, Applicants submit it in the Information Disclosure Statement as it is related to German

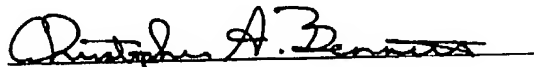
reference No. DE 44 00 980 C2. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: January 29, 2004



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FORM PTO-1449 (MODIFIED)				ATTY. DOCKET NO. 36856.1101		SERIAL NO.: Unknown	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT (USE SEVERAL SHEETS IF NECESSARY)				APPLICANT(S): Takeshi NAKAO et al.			
Sheet	1	of	1	FILING DATE: Unknown		GROUP: 2834 3729	
U.S. PATENT DOCUMENTS							
Examiner Initial	Document Number		Date	Name		Class	Filing Date Subclass if appropriate
TN	AA 5,302,877		04/1994	Sato et al.			
	AB 5,418,866		05/1995	Chu			
	AC 5,953,433		09/1999	Fujimoto et al.			
	AD 5,999,068		12/1999	Tsutsumi et al.			
	AE 5,847,486		12/1998	Kadota et al.			
	AF 6,366,002		04/2002	Kadata, Michio			
TN	AG 6,437,668		08/2002	Nakao et al.			
FOREIGN PATENT DOCUMENTS							
	Document Number		Date	Country		Class	Filing Date Subclass if appropriate
TN	AH 10-233645 A (With English Abstract)		9/1998	Japan			
	AI 11-298290 A (With English Abstract)		10/1999	Japan			
	AJ EP 0 860 943 A2		08/1998	Europe			
TN	AK EP 1 061 646 A1		12/2000	Europe			
	AL						
	AM						
	AN						
	AO						
	AP						
OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)							
	AQ						
	AR						
	AS						
	AT						
EXAMINER <u>TN Nguyen</u>				DATE CONSIDERED <u>5/03/04</u>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Takeshi NAKAO et al.	
Divisional Application of Parent Serial No.: 09/964,831	
Filing Date: Concurrently herewith	
For: SURFACE ACOUSTIC WAVE DEVICE AND MANUFACTURING METHOD THEREFOR	

INFORMATION DISCLOSURE STATEMENT

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicants herewith submit herewith an Information Disclosure Statement (Form PTO-1449), which lists all the prior art references that were listed in the Parent Application No. 09/964,831, currently pending. Accordingly, a copy of these references can be found in the Parent Application No. 09/964,831, currently pending. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: July 7, 2003



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